

LETTERS

Experimental implementation of heat-bath algorithmic cooling using solid-state nuclear magnetic resonance

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The counter-intuitive properties of quantum mechanics have the potential to revolutionize information processing by enabling the development of efficient algorithms with no known classical counterparts^{1,2}. Harnessing this power requires the development of a set of building blocks³, one of which is a method to initialize the set of quantum bits (qubits) to a known state. Additionally, fresh ancillary qubits must be available during the course of computation to achieve fault tolerance^{4,5}. In any physical system used to implement quantum computation, one must therefore be able to selectively and dynamically remove entropy from the part of the system that is to be mapped to qubits. One such method is an 'open-system' cooling protocol in which a subset of qubits can be brought into contact with an external system of large heat capacity. Theoretical efforts⁶⁻¹⁰ have led to an implementation-independent cooling procedure, namely heat-bath algorithmic cooling. These efforts have culminated with the proposal of an optimal algorithm, the partner-pairing algorithm, which was used to compute the physical limits of heat-bath algorithmic cooling¹¹. Here we report the experimental realization of multi-step cooling of a quantum system via heat-bath algorithmic cooling. The experiment was carried out using nuclear magnetic resonance of a solid-state ensemble three-qubit system. We demonstrate the repeated repolarization of a particular qubit to an effective spin-bath temperature, and alternating logical operations within the three-qubit subspace to ultimately cool a second qubit below this temperature. Demonstration of the control necessary for these operations represents an important step forward in the manipulation of solid-state nuclear magnetic resonance qubits.

Nuclear magnetic resonance (NMR)-based ensemble quantum information processing (QIP) devices have provided excellent test beds for controlling non-trivial numbers of qubits¹²⁻¹⁵. A solid-state NMR QIP architecture builds on this success by incorporating the essential features of the liquid-state devices while offering the potential to reach unit polarization and thus control more qubits^{16,17}. In this architecture, the abundant nuclear spins with polarization *P* form a large-heat-capacity spin-bath that can be either coupled to, or decoupled from, a dilute, embedded ensemble of spin-labelled isotopomers that comprise the qubit register. Bulk spin-cooling procedures such as dynamic nuclear polarization are well known and capable of reaching polarizations near unity^{18,19}. This architecture is one realization within a large class of possible solid-state QIP systems in which coherently controlled qubits can be brought into contact with an external system that behaves as a heat bath. The principles and methods applied in solid-state NMR QIP will therefore apply to many other systems. An additional motivation is development of control techniques that future quantum devices

will harness. For this experiment, we develop a novel technique to implement the controlled qubit-bath interaction, and also report the first application of strongly modulating pulses¹⁴ to solid-state NMR for high-fidelity, coherent qubit control.

The three-qubit quantum information processor used here is formed by the three spin-1/2 ¹³C nuclei of isotopically labelled malonic acid molecules, occupying a dilute fraction of lattice sites in an otherwise unlabelled single crystal of malonic acid (unlabelled, with the exception of naturally occurring ¹³C isotopes at the rate of 1.1%). The concentration of labelled molecules was 3.2%. Malonic acid also contains abundant spin-1/2 ¹H nuclei, which comprise the heat-bath. Figure 1 shows the ¹H-decoupled, ¹³C-NMR spectrum for the crystal (and crystal orientation) used in this work. The spectrum shows the NMR absorption peaks of both the qubit spins (quartets) and natural abundance ¹³C spins (singlets), the latter being inconsequential for QIP purposes. The table in Fig. 1 lists the parameters of the ensemble qubit hamiltonian obtained from fitting the spectrum, and also includes couplings involving the methylene protons calculated for this crystal orientation from the known crystal structure¹⁹. Experiments were performed at room temperature at a static magnetic field strength of 7.1 T, where the thermal ¹H polarization is *P*_H = 2.4 × 10⁻⁵.

In this orientation, the methylene carbon *C*_m has a dipolar coupling of 19 kHz to *H*_{m1} of the methylene ¹H pair, whereas no other ¹³C-¹H dipolar coupling in the system is larger than 2 kHz (see Fig. 1 for atom nomenclature). Therefore, a spin-exchange hamiltonian of the form

$$H_{ex} = \sum_{j \in \{C_1, C_2, C_m\}} \frac{D_j}{3} \sigma_j^x \sigma_j^x + \sigma_j^x \sigma_j^y + \sigma_j^y \sigma_j^x + \sigma_j^y \sigma_j^y \quad (1)$$

that couples the two nuclear species will generate dynamics dominated by the large *C*_m-*H*_{m1} coupling at short times (the *D*_{*j*} are ¹³C-¹H dipolar couplings, indices *j, k* run over ¹³C, ¹H nuclei, respectively, and σ_j^x is the β -axis Pauli operator for spin *a*). Starting from the natural coupling hamiltonian, $H_{nat} = \sum_j D_j \sigma_j^x \sigma_j^z / 2$, we applied a multiple-pulse 'time-suspension' sequence²⁰ synchronously to both ¹³C and ¹H spins to create the effective spin-exchange hamiltonian (in the toggling frame), to lowest order in the Magnus expansion of the average hamiltonian²¹. Application of the sequence for the *C*_m-*H*_{m1} exchange period $\tau = 3/(4 \times 19 \text{ kHz}) \approx 40 \mu\text{s}$ results in an approximate swap gate (state exchange) between the *C*_m and *H*_{m1} spins. With an initial bulk ¹H polarization *P*_H, this procedure yields a selective dynamic transfer of polarization *P* = *gP*_H to *C*_m, where $0 \leq |g| \leq 1$ and ideally $|g| = 1$. We define the effective spin-bath temperature to be that which corresponds to the experimentally

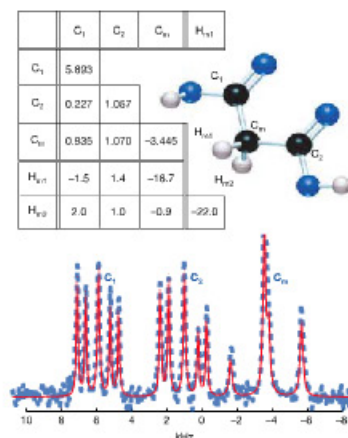


Figure 1 | Characteristics of the dilute ¹³C malonic acid spin system. Bottom, ¹H-decoupled, ¹³C spectrum near the [010] orientation with respect to the static magnetic field. The blue dashed line is the experimental NMR absorption spectrum, and the solid red line is a fit. Multiplet assignments are indicated by the labels *C*₁, *C*₂ and *C*_m. The central peaks in each multiplet correspond to natural abundance ¹³C in the sample, which are inconsequential for QIP purposes. The peak height differences in the ¹³C-¹³C molecular peaks indicate the strong coupling regime, that is, the ¹³C-¹³C intramolecular dipolar couplings are significant compared to the relative chemical shifts. Top, table showing the ¹³C rotating-frame hamiltonian parameters (chemical shifts along diagonal; dipolar coupling strengths off-diagonal; all values in kHz) obtained from the spectral fit. It also includes calculated dipolar couplings involving the methylene protons based on the atomic coordinates¹⁹ and the crystal orientation obtained from the spectral fit.

obtained *P* under this procedure, and refer to this transfer as a refresh operation. We obtained *P* ≈ 0.83*P*_H experimentally, and found that repeated refresh operations showed no loss in efficiency given at least a 6 ms delay for ¹H-¹H equilibration. However, we observed a decay of *P*_H as a function of the number of repetitions, due to accumulated control errors, which lead to an identical loss in the refresh polarization.

The experiment consists of the first six operations of the partner-pairing algorithm (PPA) on three qubits: three refresh operations, and three permutation gates that operate on the qubit register. This is described in the quantum circuit diagram of Fig. 2. During the register operations, the ¹H polarization is first rotated into the transverse plane, and then 'spin-locked' by a strong, phase-matched radio frequency (r.f.) field that both preserves the bulk ¹H polarization and decouples the ¹H-¹³C dipolar interactions. As ¹H-¹H dipolar interactions are merely scaled by a factor -1/2 under spin-locking, *H*_{m1} is allowed to equilibrate with the bulk ¹H nuclei via spin diffusion. This occurs on a timescale longer than the transverse dephasing time (*T*₂(*H*_{m1}) ≈ 100 μs), but much shorter than the spin-lattice relaxation time (*T*₁ ≈ 50 s) of *H*_{m1}. Hence, *H*_{m1} plays the role of the fast-relaxing qubit described in the protocol of ref. 11. The first two register operations are swap gates; the third is a three-bit compression (3BC) gate⁶⁻⁹ that boosts the polarization of the first qubit, *C*₁, at the expense of the polarizations of the other two qubits.

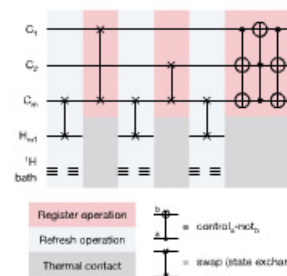


Figure 2 | Schematic quantum circuit diagram of the implemented protocol. Time flows from left to right. The three-bit compression (3BC) gate is shown here decomposed as control-not gates and a control-control-not (Toffoli) gate. The gate sequence corresponds to the first six steps of the partner-pairing algorithm¹¹ on three qubits. The input state is a collective polarization *P*_H of the bulk ¹H. The refresh operation is approximately 40 μs in duration, whereas the register operations are between 0.7 and 1.3 ms in duration. Thermal contact takes place during ¹H spin-locking pulses that begin just before the register operations, and extend an additional 12 ms after each operation. *H*_{m1} can be thought of as an additional 'special purpose' qubit in this experiment; despite non-selective ¹H control (due to bulk hydrogenation), the refresh and thermal contact operations could be performed using collective ¹H control. Thus, *H*_{m1} serves as a fast-relaxing 'qubit' and the bulk ¹H-bath as a thermal bath of large heat capacity.

Ideally, the protocol builds a uniform polarization on all three qubits corresponding to the bath polarization (first five steps), then selectively transfers as much entropy as possible from the first qubit to the other two (last step). The last step (3BC) leads to a polarization boost by a factor of 3/2 on the first qubit. Subsequently, the heated qubits can be re-cooled to the spin-bath temperature, and the compression step repeated, iteratively, until the asymptotic value of the first-bit polarization is reached. This limiting polarization depends only on the number of qubits and the bath polarization¹¹, and is ideally $P(C_1) = 2P$ for three qubits (for *n* qubits it is $2^{n-1}P$ in the regime $P \ll 2^{-n}$, and 1.0 in the regime $P \gg 2^{-n}$ (refs 11, 22)). The first six steps carried out here should yield a polarization of 1.5*P* on *C*₁, assuming ideal operations.

The control operations performed here are quantum control operations: state-independent unitary rotations in the Hilbert space. However, it should be noted that the heat-bath algorithmic cooling gates are all permutations that map computational basis states to other computational basis states. Therefore, gate fidelities were measured with respect to correlation with these known states, rather than the manifold of generic quantum states. We took advantage of this property to further optimize the control parameters of the ¹³C gates (register operations) for the state-specific transformations of the protocol. These operations were carried out using numerically optimized control sequences referred to as strongly modulating pulses¹⁴. Such pulses drive the system strongly at all times, such that the average r.f. amplitude is comparable to, or greater than, the magnitude of the internal hamiltonian. This allows inhomogeneities in the ensemble qubit hamiltonian to be efficiently refocused, so that ensemble coherence is better maintained throughout the gate operations.

In this set of experiments, the ¹³C qubit spins are initialized to infinite temperature (a preceding broadband ¹³C π/2 excitation pulse is followed by a dephasing period in which ¹H dipolar fields effectively dephase the ¹³C polarization). Following the fifth step,

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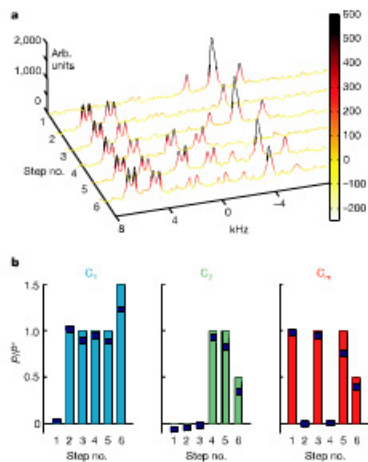


Figure 3 | Experimental results in terms of ¹³C spectra and their integrated intensities. **a**, Readout spectra obtained following each of the six steps in the protocol. A colour scale indicates peak intensities, which are in arbitrary units. The integrated peak intensities for each multiplet correspond to the ensemble spin polarizations. The natural abundance C₀ signal that appears at each refresh step (adding to the intensity of the central peaks) should be ignored; we are only interested in the part of the signal arising from the ¹³C qubit molecules, which can be seen clearly in the C₁ and C₂ spectral regions. **b**, Bars indicate ideal qubit polarizations at each step; experimental values obtained from integration of the above spectra are shown as shaded bands, whose thickness indicates experimental uncertainty.

polarizations (in units of P) of 0.88, 0.83 and 0.76 (± 0.03) are built up on C₁, C₂ and C₃, respectively. The final 3BC operation yields $P(C_1)/P = 1.22 \pm 0.03$, an increase of 48% compared to the average polarization (0.82) following step five. Despite control imperfections that effectively heat the qubits at each step, we are able to cool the C₁ qubit ensemble well below the effective ¹H spin-bath temperature.

The results are summarized in Fig. 3; in Fig. 3a are shown the spectral intensities corresponding to ¹³C spin polarizations following each of the six steps, and in Fig. 3b the integrated intensities are graphed in comparison with the ideal values. We note that the overall fidelity of the experiment, $F = 1.22/1.50 = 0.81$, implies an error per step of 3.7%. This error rate is only about a factor of two larger than the average error per two-qubit gate obtained in a benchmark liquid-state NMR QIP experiment¹². Furthermore, the state-correlation fidelity of the 3BC gate over the polarizations on all three qubits is 0.96 ± 0.03 . From Fig. 3b, it can be seen that the fidelity of the refresh operation drops off roughly quadratically in the number of steps; this is consistent with the loss of bulk ¹H polarization due to pulse imperfections both in the multiple-pulse refresh operations and in the spin-locking sequence. As the broadband pulses have been optimized for flip-angle in these sequences, we suspect that the remaining errors are mainly due to switching transients that occur in the tuned r.f. circuitry of the NMR probe head, and to a lesser extent off-resonance and finite pulse-width effects that modify the average hamiltonian¹³. Similar effects lead to imperfect fidelity of the ¹³C control. With suitable improvements to the resonant circuit response

and by incorporating numerical optimization of the multiple-pulse refresh operations, we expect that several iterations of the protocol could be carried out and that the limiting polarization of 2P could be approached in this system. The same methodologies should also be applicable in larger qubit systems with similar architecture. For a six-qubit system using the PPA, a bath polarization $P^* > 0.2$ would be sufficient, in principle, to reach a pure state on one qubit¹⁴. Such bulk nuclear polarizations are well within reach via well-known dynamic nuclear polarization techniques¹⁵; for example, unpaired electron spins at defects (g-factor = 2) in a field of 3.4 T and at temperature 4.2 K are polarized to 0.5.

This work demonstrates that solid-state NMR QIP devices could be used to implement active error correction. Given a bath polarization near unity, the refresh operation implemented here would constitute the dynamic resetting of a chosen qubit. This would allow a new NMR-based test bed for the ideas of quantum error correction and for controlled open-system quantum dynamics in the regime of high state purity and up to approximately 20 qubits.

METHODS

NMR experiments were carried out at room temperature on a Bruker Avance solid-state spectrometer operating at a field of 7.1 T, and a purpose-built dual channel r.f. probe. The sample coil had an inner diameter of 3 mm, and the employed $\pi/2$ broadband pulse lengths were 1.25 μ s and 0.75 μ s for ¹³C and ¹H, respectively. The sample was a 4 × 1.5 × 1.5 mm³ single crystal of malonic acid grown from aqueous solution with a 3.2% molecular fraction of 1-¹³C labelled molecules. Spectra were obtained by signal averaging for 80 scans. The proton spin-lattice relaxation time was $T_{1H} = 30$ s, so the delay between scans was set to $6T_{1H} = 300$ s. The average ¹³C free-induction dephasing rate (with ¹H decoupling) was $1/T_{2C}^* = (2 \text{ ms})^{-1}$ in our sample. This rate is dominated by the ensemble dispersion of chemical shifts, much of which is effectively removed by the control operations. Design of the strongly modulating ¹³C pulses followed very closely the methodology described in ref. 18, and penalty functions were adjusted to favour average r.f. amplitudes comparable to or greater than the magnitude of the ¹³C rotating-frame hamiltonian. These pulses were optimized and simulated over a five-point distribution of r.f. amplitude corresponding to the measured distribution over the spin ensemble ($\sigma = 6.2\%$ in r.f. amplitude). The 'time-suspension' sequence applied synchronously to ¹³C and ¹H was a 12-pulse subsequence of the Cory 48-pulse sequence¹⁶. The delays between pulses were adjusted so that the total length of the sequence was 40 μ s. ¹H spin-locking/decoupling was carried out at an r.f. amplitude of 250 kHz. The spectra in Fig. 3 were obtained by applying a $\pi/2$ broadband pulse to read out the spin polarizations. The absolute value of the refresh polarization P was determined by comparing the initial refresh polarization on C₀ and the thermal equilibrium ¹³C polarization P_{C_0} measured in a separate experiment. These yield the ratio of P to P_{C_0} and P to P_{1H} using the fact that $P_{1H} = 3.98P_{C_0}$.

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